

EWB BULLETIN, Second Quarter of 2016:

	Case Description/Allegations	Policy Involved/ Potential Violation	Status/Case Disposition ¹²
1	Allegations of involvement in various Conflict of Interest (COI) situations and other personnel matters	<i>Code of Business Conduct and Ethics (Code); Conflict of Interest Policy (COI Policy)</i>	Line head informed CGO of courses of action on the matter. The formulation of relevant implementing guidelines was requested by CGO from the Head, Human Resources Group.
2	Allegations of mismanagement of unit and violation of subordinate's right to due process	<i>Code; COI Policy</i>	Final report to the line head and CGO pending.
3	Allegations of payment of "commissions" or "gifts" by a PLDT/SMART supplier	<i>Code; COI Policy; Policy on Gifts, Entertainment and Sponsored Travel, Supplier/Contractor Relations Policy</i>	On-going investigation by PLDT Internal Audit and Fraud Risk Management (IAFRMG). SMART Internal Audit submitted its recommendations to the relevant business units.
4	Allegations of improper claiming of <i>per diem</i> and/or reimbursements for local travel	<i>Questionable Auditing Matters/Table of Penalties, Personnel Manual</i>	On-going investigation by IAFRMG.
5	Allegations of election and appointment to public office	<i>COI Policy; Policy on Employees Running for or Elected to Public Office</i>	On-going investigation by HRG.

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¹ Complaint received in Q2 of 2016: 2² Case closed in Q2 of 2016: 1