

EWB BULLETIN, Third Quarter of 2016:

	Case Description/Allegations (New and Pending Cases)	Policy Involved/ Potential Violation	Status/Case Disposition¹²
1	Allegations of: (a) involvement in various Conflict of Interest (COI) situations; and (b) receipt of commissions from a supplier	<i>Code of Business Conduct and Ethics (Code); Conflict of Interest Policy (COI Policy)</i>	Line head informed CGO of courses of action on the matter.
2	Allegations of payment of "commissions" or "gifts" by a PLDT/SMART supplier	<i>Code; COI Policy; Policy on Gifts, Entertainment and Sponsored Travel, Supplier/Contractor Relations Policy</i>	On-going investigation by PLDT Internal Audit and Fraud Risk Management (IAFRMG). SMART Internal Audit submitted its recommendations to the relevant business units.
3	Allegations of improper claiming of <i>per diem</i> and/or reimbursements for local travel	<i>Questionable Auditing Matters/Table of Penalties, Personnel Manual</i>	Report of investigation endorsed to line head for appropriate action.
4	Allegations of backlogs and lapses in the performance of work due to election to public office	<i>COI Policy; Policy on Employees Running for or Elected to Public Office</i>	On-going investigation by HRG.

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¹ Complaint received in Q3 of 2016: 1

² Cases closed in Q3 of 2016: 2